



PATENT APPLICATION

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3621/8  
JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Confirmation No.: 9303

John S. HENDRICKS et al.

Group Art Unit: 3621

Application No.: 09/289,957

Examiner: John M. WINTER

Filed: April 13, 1999

Attorney Docket No.: 026880-00024

For: ELECTRONIC BOOK ALTERNATIVE DELIVERY SYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: March 15, 2007

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

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- ☒ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
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- ☒ 4. Copy/Copies of the U.S. Patent references are not enclosed.
- ☒ 5. The references are cited in corresponding foreign applications, and related U.S. and foreign applications.
- ☒ 6. Copies of the foreign patent documents and the publications are on the attached CD

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00024.

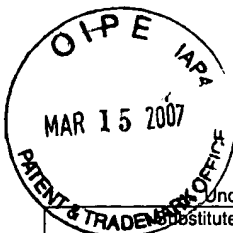
Respectfully submitted,



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Enclosures: PTO/SB/08a – U.S. Patent Documents (13 pages)  
PTO/SB/08a – Foreign Patent Documents (5 pages)  
PTO/SB/08a – U.S. and Non-Patent Literature (1 page)  
CD

TMN/wb



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** Form PTO/SB/08a

## **Complete if Known**

Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	026880-00024

Sheet 1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US- 5,864,823		01-26-1999	LEVITAN	
	A2	US-4,023,408		05-17-1977	RYAN et al.	
	A3	US-5,146,600		09-08-1992	SUGIURA	
	A4	US-5,220,438		06-15-1993	YAMAMOTO	
	A5	US-5,237,614		08-17-1993	WEISS	
	A6	US-5,565,999		10-15-1996	TAKAHASHI	
	A7	US-5,621,658		04-15-1997	JACKSON et al.	
	A8	US-5,428,529		06-27-1995	HARTRICK et al.	
	A9	US-4,941,089		07-10-1990	FISHER	
	A10	US-5,691,777		11-25-1997	KASSAATLY	
	A11	US-5,892,900		04-06-1999	GINTER et al.	
	A12	US-6,415,316		07-02-2002	VAN DER MEER	
	A13	US-4,890,319		12-26-1989	SETH-SMITH et al.	
	A14	US-5,054,984		10-08-1991	Chan et al.	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A14	HERRON T.L., "Teaching with the Internet" Internet and Higher Education, US, JAI Press, Stamford, CT, Vol. 1, No. 3, 1998, pages 217-222, XP002118719, ISSN: 1096-7516.	
	A15	AZUMA J., "Creating Educational Web Sites", IEEE Communications Magazine, US, IEEE Service Center, Piscataway, N.J., Vol. 37, No. 3, March 1999, pages 109-113, XP000823980, ISSN: 0163-6804.	
	A16	GOLDBERG, M.W. et al., "World Wide Web-Course tool: An environment for building WWW-based courses", Computer Networks and ISDN Systems, NL, North Holland Publishing, Amsterdam, Vol. 28, No. 11, May 1, 1996, Pages 1219-1231, XP004018222, ISSN: 0169-7552.	
	A17	"An Interactive Lesson in the Interactive Course Series", Waite Group Press, Macmillan Computer Publishing, 'Online! 1996, XP000829591, Retrieved from the Internet: URL: http://www.waite.com/> 'retrieved on 1996.	
	A18	GOORFIN, L., "Electronic Dictionary Pronounces over 83,000 Words", Speech Technology, Man-Machine Voice Communications, US, Media Dimensions Inc., New York, Vol. 4, No. 4, April 1, 1989, pages 78-79, XP000033505, ISSN: 0744-1355.	
	A19	HARTLEY, S. et al., "Enhancing Teaching Using the Internet", SIGCSE/SIGCUE Conference on Integrating Technology into Computer Science Education, US, New York, ACM, Vol. CONF. 1, June 2, 1996, pages 218-228, ISBN: 0-89791-844-4.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

TECH/491281.1



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
Form PTO/SB/08a**Complete if Known**

Application Number	09/289,957
Filing Date	April 13, 1999
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Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	026880-00024

Sheet 1 of 13

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		3,869,873		n/a	Ringstad	
		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
		4,071,697		01-1978	Bushnell et al.	
		4,197,590		4/8/1980	Sukonick, et al.	
		4,251,671		n/a	Reed et al.	
		4,298,793		11/1981	Melis et al.	
		4,361,848		11/30/1982	Poigner et al.	
		4,381,522		4/26/1983	Lambert	
		4,398,216		n/a	Field et al.	
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		4,616,263		10-1986	Eichelberger, George P.	
		4,621,282		n/a	Ahern	
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		4,644,470		Feb-87	Feigenbaum et al.	
		4,653,100		03/1987	Barnett, et al.	
		4,668,218		5/26/1987	Virtanen	
		4,668,246		n/a	Eilers et al.	
		4,673,976			Wreford-Howard	

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO/SB/08a				Complete if Known	
				Application Number	09/289,957
				Filing Date	April 13, 1999
				First Named Inventor	John S. HENDRICKS et al.
				Art Unit	3621
				Examiner Name	John M. Winter
Sheet	2	of	13	Attorney Docket Number	026880-00024

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		4,688,218		8/18/1987	Bliveau et al.	
		4,688,245		8/18/1987	Eilers, et al.	
		4,691,109		10/2/1990	Tanaka	
		4,694,483		9/1987	Cheung	
		4,694,490		9/15/1987	Harvey et al.	
		4,697,209		9/29/1987	Kiewit et al.	
		4,706,121		n/a	Young	
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		4,965,819		10-1990	Kannes, Deno	

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				Art Unit	3621
				Examiner Name	John M. Winter
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		4,965,825		10/23/1990	Harvey, et al.	
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		5,003,384		3/26/1991	Durden, et al.	
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		5,010,499		04-1991	Yee, Keen Y.	
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		5,027,400		6/25/1991	Baji et al.	
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		5,046,093		9/3/1991	Wachob	
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		5,056,138		n/a	Tyson, Sr.	
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		5,073,930		n/a	Green et al.	
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		5,115,426		n/a	Spanke	
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		5,124,980		6/23/1992	Maki	
		5,130,792		7/14/1992	Tindell, et al.	
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		5,208,745		05-1993	Quentin et al.	
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		5,221,962		n/a	Backus et al.	
		5,222,136		06-1993	Rasmussen et al.	
		5,223,924		6/29/1993	Strubbe	
		5,233,333		08-1993	Borsuk, Sherwin M.	
		5,235,419		8/10/1993	Krause et al.	
		5,235,619		8/1993	Beyers et al.	

Examiner Signature		Date Considered	
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				Art Unit	3621
				Examiner Name	John M. Winter
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,235,680		8/1993	Bijnagte	
		5,237,311		8/17/1993	Mailey, et al.	
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		5,239,665		8/1993	Tsuchiya	
		5,241,671		8/1993	Reed, et al.	
		5,242,770		n/a	Schmelzer et al.	
		5,247,347		9/1993	Litteral et al.	
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		5,251,324		10/1993	McMullan	
		5,253,066		10/12/1993	Vogel	
		5,253,275		10/12/1993	Yurt et al.	
		5,253,341		n/a	Rozmanith et al.	
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		5,282,028		n/a	Johnson et al.	
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		5,289,271		2/22/1994	Watson	
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		5,291,554			Morales	
		5,293,540		3/8/1994	Trani et al.	
		5,293,633		n/a	Robbins	
		5,318,450		6/1994	Carver	
		5,319,454		6/1994	Schutte	
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		5,329,590		7/12/1994	Pond	
		5,339,091		08-1994	Yamazaki et al.	
		5,339,239		8/16/1994	Manabe et al.	
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				Application Number	09/289,957
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				First Named Inventor	John S. HENDRICKS et al.
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		5,341,474		8/23/1994	Gelman, et al.	
		5,343,239		8/30/1994	Lappington	
		5,343,516		n/a	Callele, et al.	
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		5,349,638		n/a	Pitroda et al.	
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		5,414,426		5/9/1995	O'Donnell, et al.	
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		5,420,474		05-1995	Morris et al.	
		5,424,770		6/13/1995	Schmelzer	
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		5,515,098		5/7/1996	Carles	
		5,517,254		5/14/1996	Monta et al.	
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		5,632,022		5/1997	Warren et al.	
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		5,635,918		6/3/1997	Tett	
		5,636,036		06-1997	Ashbey, James A.	
		5,640,193		6/1997	Wellner	
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		5,722,418		03-1998	Bro, L. William	
		5,724,091		3/3/1996	Freeman et al.	
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		5,754,172			Kubota et al.	
		5,758,257		5/26/1998	Herz et al.	
		5,761,468		6/1998	Emberson	
		5,761,485		6/2/1998	Munyan	
		5,761,606		6/1998	Wolzien	
		5,764,276		6/9/1998	Martin, et al.	
		5,767,896		6/16/1998	Nemirofsky	
		5,768,521		06/1998	Dedrick	
		5,771,354		6/1998	Crawford	
		5,774,170		6/30/1998	Hite et al.	
		5,787,171		n/a	Kubota et al.	
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		5,798,785		8/1998	Hendricks et al.	
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		5,802,465		09-1998	Hamalainen et al.	
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		5,805,204		9/8/1998	Thompson, et al.	
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		5,819,301		10/6/1998	Rowe et al.	
		5,835,732		11-1998	Kikinis et al.	
		5,844,600		12/1/1998	Kerr	
		5,844,890		12/1/1998	Delp, et al.	
		5,850,218		12/15/1998	LaJoie et al.	
		5,850,629		12/1998	Holm, et al.	
		5,859,594		1/1999	King et al.	
		5,862,325		1/1999	Reed et al.	
		5,862,329			Aras et al.	
		5,870,717		2/1999	Wiecha	
		5,877,755		03-1999	Helhake, Paul	
		5,881,269		3/1999	Dobbelstein	
		5,887,801		3/2/1999	Martin, et al.	
		5,890,122		3/30/1999	Van Kleeck, et al.	
		5,892,915		4/1999	Duso et al.	

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		5,893,132		4/1999	Huffman et al.	
		5,898,852		4/1999	Petolino et al.	
		5,903,319		5/11/1999	Busko, et al.	
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		5,903,901		5/1999	Kawakura et al.	
		5,914,706		6/1999	Kono	
		5,917,543			Uehara	
		5,917,915		6/29/1999	Hirose	
		5,929,848		7/1999	Albukerk et al.	
		5,930,768		07/27/1999	Hooban	
		5,933,498		11/1997	Schneck et al.	
		5,940,073		8/17/1999	Klosterman et al.	
		5,957,695		9/28/1999	Redford et al.	
		5,963,246			Kato	
		5,986,677		11/1999	Jones et al.	
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		5,999,214			Inagaki	
		6,012,890		1/2000	Celorio	
		6,014,184		1/2000	Knee et al.	
		6,025,837		2/15/2000	Mathews III et al.	
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				Art Unit	3621
				Examiner Name	John M. Winter
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Name	John M. Winter

Attorney Docket Number	026880-00024
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